(Published in two parts in the August 29, 2022 and August 31, 2022 editions of *The Lawyer's Daily*)

By Hilary Linton and Borzou Tabrizi

Latest Developments in Parenting Coordination Law

With court delays limiting options for parents who have child-related disputes, parenting coordination is increasingly an appealing alternative.

This article summarizes the latest case law about this evolving practice.

What is parenting coordination?

Parenting coordination (PC) is a form of post-parenting plan dispute resolution. In Ontario it is defined by a contract between the parents and the PC, and governed by the Arbitration Act, the Family Law Act and a 2007 Regulation under the Arbitration Act.

PC is private, voluntary case-management that helps parents resolve disagreements about the interpretation or implementation of their parenting plan. A court order, arbitration award or separation agreement addressing decision-making and parenting time is a pre-requisite.

PCs use a variety of strategies to help parents resolve disputes by consensus and, failing that, binding arbitration. They must act fairly and with due process at every stage. They must not exceed the substantive jurisdiction given them in their PC Agreement.

For a recent case illustrating these points well, see Ali v Obas. 1

Is a clause in a separation agreement requiring the parties to retain a PC enforceable?

The short answer is it seems to depend on the judge and the facts, but it should be a clear 'no'. And even if a court finds on the facts that such a clause is binding on the parties, any resulting awards are not.

Parenting coordination is 'secondary arbitration' as defined by the Family Law Act and the Arbitration Act. Regulation 134/07 under the Arbitration Act sets out the prerequisites for all family Arbitration Agreements to empower family arbitrators to deliver enforceable awards.

1

https://www.canlii.org/en/on/onsc/doc/2021/2021onsc3412/2021onsc3412.html?searchUrlHash=AAAAAQ AWcGFyZW50aW5nlGNvb3JkaW5hdGlvbgAAAAAB&offset=0

These pre-requisites are not mere formalities as some courts have characterized them. Among other things, they require arbitrators to provide a specified form of screening for power imbalances and family violence before the parties commit to the process. And they require arbitrators to certify that they will continuously reassess those dynamics if they conduct any arbitrations.

Screening in any private dispute resolution process, particularly one involving children, is critically important. (The 2020 article "Best Practices for Addressing Power Imbalances and Safety in Family Dispute Resolution Processes: Research, Protocols and the Law". is a good primer on this topic.) ²

If the prerequisites are not met, the Arbitration Act and Family Law Act together state, definitively, that the Agreement is not a 'family arbitration agreement' and the awards resulting from that process will not be enforceable by a court.

Therefore, an agreement to retain a PC set out in a separation agreement will not produce enforceable awards, even where the parties and arbitrator have subsequently acted on that agreement, unless they have executed an Arbitration Agreement that complies with the Regulation.

This makes sense. If the parents have not been screened for power imbalances and family violence in the manner stipulated by the Regulation, it is not appropriate for a court to subsequently compel them to participate in that process or be bound by its outcome, regardless of their actions.

The costs of a failure to meet the statutory and regulatory requirements should not be borne by the parties.

It is understandable that courts might want to hold parties to their apparent commitments and to avoid the resulting unfairness where a PC or arbitrator has not required them to comply with the prerequisites. But the legislation and Regulation are clear. Further, family arbitrators are required by the Regulation to take specified training to learn these requirements and to certify that they have been followed.

The fall-out from an arbitrator's failure to do so was keenly illustrated in a 2022 decision, Shinder v Shinder ³. In that case, the parties' separation agreement anticipated future mediation-arbitration of designated disputes. A dispute arose, and a mediator-arbitrator

https://www.canlii.org/en/commentary/doc/2020CanLIIDocs3556?autocompleteStr=Linton&autocompletePos=3#!fragment//BQCwhgziBcwMYgK4DsDWszIQewE4BUBTADwBdoByCgSgBpltTCIBFRQ3AT0otokLC4EbDtyp8BQkAGU8pAELcASgFEAMioBqAQQByAYRW1SYAEbRS2ONWpA

3

https://www.canlii.org/en/on/onsc/doc/2022/2022onsc181/2022onsc181.html?searchUrlHash=AAAAAQAnbWVkaWF0aW9ulC8wlGFyYml0cmF0aW9ulC8wlGVuZm9yY2VtZW50AAAAAAE&resultIndex=1

_

was confirmed via correspondence between counsel. But no Arbitration Agreement was signed and there was no screening for power imbalances and family violence.

The parties attended a mediation at which they agreed to appoint the same arbitrator to summarily arbitrate future disputes arising from that settlement. Again, no Arbitration Agreement was executed and there was no screening for family violence and power imbalances.

Notwithstanding, the court considered the language of the statutes and Regulation and determined that, by their actions, the parties and the Arbitrator had entered into a binding arbitration agreement.

This outcome is problematic as it fails to acknowledge the important reasons for the mandatory procedural requirements. And it leaves the parents with an order binding them to a process that leads to unenforceable awards.

A better approach is for courts to require family arbitrators to comply with their responsibility under the Regulation to ensure their clients execute family Arbitration Agreements that include the required terms, with independent legal advice, and to be satisfied that all mandatory prerequisites have been met before they do so.

That approach was taken in <u>Monteiro v Monteiro.</u> (also a 2022 case) ⁴, where the court, adopting the reasoning in the 2017 <u>Horowitz v Nightingale</u> decision, ⁵ wrote:

"The Regulation is mandatory and applies to all secondary arbitrations. The dispute resolution clause contained in the separation agreement does not contain the necessary formalities and therefore does not constitute an arbitration agreement for purposes of the Arbitration Act. ...This court is without jurisdiction to read into the dispute resolution clause the formalities required by the Regulation. Consequently, a failure to comply with the requirements of the regulation is fatal to the enforcement of the arbitration provisions contained in the dispute resolution clause (of the separation agreement.)"

What are the key steps to retaining a PC?

Parents should each have a confidential intake meeting with the proposed PC before making a commitment. During this meeting they are each screened for any power imbalances that might impact their suitability for private adjudication.

https://www.canlii.org/en/on/onsc/doc/2017/2017onsc2168/2017onsc2168.html?autocompleteStr=horowitz&autocompletePos=2

⁴ https://www.canlii.org/en/on/onsc/doc/2022/2022onsc2642/2022onsc2642.pdf

Parenting Coordination Agreements are typically for 2+ year terms. Before they sign a Parenting Coordination Agreement, parents are screened by the PC for anything that might prejudice them in a private adjudicative process.

This can include their ability to fund or manage the commitments of the process, their capacity to fully and safely participate without fear of retaliation, mental illness or trauma that could impact their procedural needs, any risk of harm to children as a result of a parent's participation, the likelihood that remedies unavailable to a private adjudicator might be needed, their respective readiness and governability, and so on.

The PC Agreement defines the PC's jurisdiction and procedures. ILA is required by most PCs though it is not mandated for secondary arbitration.

Once the Parenting Coordination Agreement is finalized, either parent may seek the assistance of the PC to resolve disputes in the manner prescribed by their PC Agreement during its term.

Is the PC process confidential?

That depends on the type of process provided for in the PC Agreement.

In Ontario there is no parenting coordination legislation or court directive governing the practice. It is a voluntary process governed by private contract, the Arbitration Act, the Family Law Act and Reg. 134/07.

Some PCs in Ontario offer an "open" process, where communications from the PC and between the parents may be shared with the court and the parenting coordinator may be asked to write a report or testify in court. Others offer a 'closed' process where all communications are confidential and without prejudice, subject to being relevant on an appeal or motion to set an award aside.

In the recent case of <u>Zyrmiak v McNamee</u> ⁶, involving a closed Parenting Coordination Agreement, the court did not permit a parent to refer to discussions from the PC process in their affidavit, citing settlement privilege. In <u>Jirova v Benincasa</u>, ⁷ the court dealt with a PC Agreement that, except for the screening process, was open and therefore not protected by settlement privilege. In <u>Joachim v Joachim</u>, ⁸ a 2021

 $\frac{https://www.canlii.org/en/on/onsc/doc/2020/2020onsc5850/2020onsc5850.html?searchUrlHash=AAAAAQAMCGFyZW50aW5nlGNvb3JkaW5hdGlvbgAAAAAB&resultIndex=40$

https://www.canlii.org/en/on/onsc/doc/2018/2018onsc534/2018onsc534.html?searchUrlHash=AAAAAQAWcGFyZW50aW5nIGNvb3JkaW5hdGlvbgAAAAAB&resultIndex=33

8

https://www.canlii.org/en/on/onsc/doc/2021/2021canlii116460/2021canlii116460.html?searchUrlHash=AAAAQAWcGFyZW50aW5nIGNvb3JkaW5hdGlvbgAAAAAB&resultIndex=27

⁶

⁷

decision, the court permitted a parent to call the parenting coordinator as a witness pursuant to the terms of an open PC Agreement.

In a 2022 decision R.L. v M.F., ⁹ the court declined to grant a parent's request to have counsel appointed for the children. The court noted that, in addition to other interventions that effectively achieved the desired goal, a parenting coordinator had reported to the court on her findings.

Counsel advising their clients on PC Agreements will want to consider carefully which process best meets the needs of their clients.

Is a PC always appropriate in high conflict cases?

Counsel and parties will sometimes agree to a PC in the highest conflict matters as a means of supporting shared decision-making. Although the process can be a better alternative to court in many cases, sharing parental decision making with reliance on a parenting coordinator to broker differences will not always be the best option for the children.

In its 2021 decision <u>A.R.N. v M.A.P.</u> ¹⁰ the court declined to award shared decision-making with a PC to manage disputes. Noting the strong lack of trust between the parents, the court found that:

"The conflict and lack of cooperation and coordination are so pervasive that, if there were joint decision-making, every single decision, no matter how small, would likely be referred to the parenting coordinator and, pending a resolution, the children would be in limbo."

In the 2021 decision A.P. v P.P., ¹¹ Price J. held that declining to consent to parenting coordination was not unreasonable behaviour justifying a costs sanction given that private dispute resolution is voluntary in family law matters and is not always appropriate.

Can a PC be ordered by the Court?

9

https://www.canlii.org/en/on/onsc/doc/2022/2022onsc789/2022onsc789.html?searchUrlHash=AAAAAQAYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAAE&resultIndex=13

10

 $\frac{https://www.canlii.org/en/on/onsc/doc/2021/2021onsc7676/2021onsc7676.html?searchUrlHash=AAAAAQ}{AYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAE\&resultIndex=21}$

11

https://www.canlii.org/en/on/onsc/doc/2021/2021onsc7424/2021onsc7424.html?searchUrlHash=AAAAAQ AYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAAE&resultIndex=11

Courts in Ontario do not have jurisdiction to order any voluntary dispute resolution process, including parenting coordination, without the consent of the parties. This was confirmed in the recent decision of <u>Sue-A-Quan v Duarte</u>. However, there are other recent decisions where a PC has been ordered by the court: see the 2021 decisions in <u>A.E. v A. B.</u> ¹³ and <u>Altman v Altman</u>. ¹⁴

In summary, the case law, though not always consistent, is helping parenting coordinators and counsel better tailor this process to meet the needs of parents in conflict. For additional guidance, an Ontario organization, the Family Dispute Resolution Institute of Ontario, 15 offers guidelines for the practice and a roster of Certified Parenting Coordinators.

Hilary Linton and Borzou Tabrizi are Toronto family lawyers, mediators, arbitrators and parenting coordinators with Riverdale Mediation Ltd. www.riverdalemediation.com.

https://www.canlii.org/en/on/onsc/doc/2022/2022onsc1859/2022onsc1859.html?searchUrlHash=AAAAAQ AYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAAE&resultIndex=25

13

https://www.canlii.org/en/on/onsc/doc/2021/2021onsc7302/2021onsc7302.html?searchUrlHash=AAAAAQ AYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAAE&resultIndex=22

14

https://www.canlii.org/en/on/onsc/doc/2021/2021onsc6610/2021onsc6610.html?searchUrlHash=AAAAAQ AYcGFyZW50aW5nlC8wlGNvb3JkaW5hdG9yAAAAAE&resultIndex=4

¹²

¹⁵ https://fdrio.ca